Physics Governance

The policies and procedures described in this document are intended to be consistent with the policies of the University of Oregon, as posted by the Office of Academic Affairs, and of the College of Arts and Sciences, and with the various requirements of the Collective Bargaining Agreement between United Academics and the University of Oregon. It is understood that internal governance policy and any policies developed through internal governance, both within this unit and as specified in the CBA, are subject to the approval of the appropriate dean and the Provost or designee. This document was developed and approved with the equitable participation of all Department of Physics Tenure Track Faculty, Career Non-Tenure Track Faculty, and adjunct Non-Tenure Track Faculty.

The Department of Physics has a long history of orderly and collegial governance. It is based on elected Personnel and Post-tenure committees and a host of appointed committees tasked to take on specific departmental business. This document describes the current state of governance, including the explicit documentation of the role of career non-tenure track faculty (NTTF), as required under the CBA.

Tenure-related faculty are responsible for developing review guidelines for tenure and promotion; workload for TRF; merit salary increases for TRF; and participating in the review of TRF and NTTF faculty. Career NTTF are responsible for working with TRF to develop guidelines for performance and promotion reviews, workload, and merit salary increases guidelines for the career NTTF ranks, and for adjuncts as necessary; and participating in establishing, reviewing, and revising departmental curricula, in relation to their professional responsibilities.

Time spent by funding contingent faculty members on service to the University, including shared and internal governance, must comply with the terms and conditions of their sponsored project and all federal and state laws and regulations.

We organize this document by first providing a list of the various departmental committees, giving their charge and the method for the selection of committee membership, followed by departmental procedures, focusing on procedures involving personnel decisions and other matters. While the committees operate in a mostly autonomous manner, they are all advisory to the department head (DH), who is ultimately responsible for departmental governance and due process. Unless otherwise stated, committee membership is appointed on an annual basis, typically near the end of the academic year.

Glossary

- CBA – Collective Bargaining Agreement between the UO and United Academics
- TRF – Tenure-related Faculty, i.e. tenure-track or tenured officers of instruction
- NTTF – Non-tenure related faculty
- CINTTF – Career NTTF officer of instruction
- TRP – Tenure Reduction Program
- RTRF – retired or emeritus TRF who are post-TRP or not on a TRP
Committees and Directorships

I. Personnel Committee (PC)

A. The role of the PC includes the following:

1. Review of tenure, promotion and contract renewal cases for tenure-related faculty. This includes providing recommendations for advancing (non-mandatory) promotion cases and writing reports as input to faculty votes.
2. Review of faculty annual reports. Each winter term, tenure-related faculty and career NTTF are asked to submit annual reports of professional activities from the previous calendar year. The PC reviews and evaluates the reports according to an established procedure – see Merit Review Process.
3. On request of the DH, provide advice to the DH on departmental personnel and procedural issues.
4. The PC shall have at least one career NTTF member (see below). The NTTF member(s) will not participate in the evaluation of TRF (items 1 and 2 above), but will be involved in evaluation of other career NTTF as part of normal PC processes.

B. Membership and election of the PC and PTRC:

Elections for the Physics Department Personnel (PC) and Post Tenure Review Committees (PTRC) – see below -- are conducted in the spring term of each year for committees that will serve the following academic year. These elections are run sequentially, with the PC being elected first. The PC is composed of 5 or 6 faculty, at least 3 of whom are Full Professors, at least one of whom is a CINTTF, and the remainder shall be TRF of any rank; the PTRC consists of 3 tenured faculty. Entirely new committees are elected each year, and faculty cannot serve on either committee for more than two consecutive years. To facilitate anonymity and to allow participation by faculty who are out of town, these elections are conducted over the Internet. For purposes of eligibility for election to the departmental Personnel and Post-tenure Review Committees, a tenured senior instructor will be viewed as equivalent to a tenured associate professor, and a tenured senior instructor II will be equivalent to tenured full professor. The Department Head (DH) oversees the election process according to the following guidelines:

1. The DH contacts the faculty person in charge of the electronic poll to initiate the process and provide lists of faculty eligible for each committee. Generally all tenure-related faculty and CINTTF will be placed on the lists except those who, in the following year, a) will be considered for promotion (excused from PC), b) are scheduled to undergo a post-tenure review (excused from PTRC), c) will be on leave, or d) have served the previous two years on the committee being elected. Faculty anticipating a large service load the following year may also be excused by the DH. Faculty cannot serve on both committees in the same year, so those elected to the PC will not be included on the PTRC election slate.
2. The election will be announced at least one day before the electronic poll opens. All tenure-related faculty members are eligible to vote, which they do by accessing the election web site with an anonymous PIN. Both elections generally require more than one round of voting to achieve full committees. In each round, each faculty member can vote for a number of faculty equal to the number of positions remaining open on the relevant committee (3 for the PTRC and initially 5 for the PC). Any faculty receiving more than half the votes cast will be deemed to be elected. In each round, the polls will remain open for at least 24 hours, unless all eligible votes have been cast before that time, and the poll will remain open until at least 2/3 of eligible faculty have voted. The DH is notified automatically via e-mail as each faculty PIN votes. The entire process remains anonymous.
3. After each round, the elected faculty will be announced by the Department Head, usually by e-mail. If the full committee has not been elected in a given round, an additional round is initiated. The slate for the new round will be populated by those receiving the most votes without being elected in the previous round. After the first round, each slate consists of a number of candidates
that generally is equal to twice the number of committee positions that remain open. Tie votes or the need to facilitate convergence sometimes requires, at the discretion of the DH, slight deviations from the preferred ratio of 2:1 of candidates to open positions.

4. In order to ensure NTTF representation on the PC, if a Physics CINTTF is not among the initial 5 PC positions resulting from the above process, a separate election will be held, mirroring the above, with the initial list being composed only of eligible CINTTF.

II. Post-tenure Review Committee

A. The task of the post-tenure review committee (PTRC) is to provide evaluations of tenured faculty who are up for required six-year post-tenure reviews. The faculty members who are eligible for evaluation are notified by the DH, and are asked to provide a report of their professional activities over the previous six years. The written PTRC evaluations, along with a summary evaluation from the DH, are transmitted to the College of Arts and Sciences (CAS).

B. Membership and election of the PTRC: See above under PC.

III. Curriculum Committee

A. The curriculum committee is tasked with two main responsibilities:

1. Soliciting teaching assignments from faculty and suggesting the slate of teaching assignments for both undergraduate and graduate physics and astronomy courses.
2. Considering and evaluating potential curricular changes.

B. The curriculum committee is appointed by the DH. The members are drawn from tenure-related faculty and career NTTF. The committee chair will normally be tenured.

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IV. Graduate studies committee

A. The graduate studies committee considers the overall graduate program and graduate degree requirements, including the graduate curriculum, the graduate exams, and research requirements.
B. The graduate studies committee membership is drawn from tenure-related faculty and career NTTF whose job description includes graduate instruction or graduate research supervision. The majority of members shall be TTF. The committee membership is appointed by the DH.

V. Exam committee

A. The exam committee is responsible for setting and evaluating the graduate qualifying exams. The exams are currently given twice per year, at the start of Fall and Spring terms, but this is subject to change according to the current policy.
B. The exam committee membership (typically 4) is drawn from tenure-related faculty. It is appointed by the DH.

VI. Graduate admissions committee

A. The graduate admissions committee is responsible for evaluating the applicants for the physics graduate program and, in consultation with the DH, making offers for admission.
B. The graduate admissions committee faculty membership (typically 4) is drawn from tenure-related faculty and may include the Director of Graduate Studies as an ex-officio member, in addition to (typically 2) members from the pool of current graduate students. The faculty members are appointed by the DH. The student members are chosen by the graduate students.

VII. Graduate recruitment committee

A. The graduate recruitment committee is responsible for organizing the annual visit by prospective graduate students, as well as overseeing other activities involving recruitment, such as visits with undergraduates at other institutions. 
B. The graduate recruitment committee faculty membership (typically 4) is drawn from tenure-related faculty and career NTTF whose job description includes graduate instruction or graduate research supervision, in addition to members from the pool of current graduate students. The faculty members are appointed by the DH. The student members are chosen by the graduate students.

VIII. Faculty search committees

A. Chosen on an as-needed basis to oversee the search process for tenure-related faculty (typically 4 members), CINTTF (typically 3 members), or Career NTTF Officers of Research (typically 3 members; for funding contingent positions, membership would include the grant PI).
B. Membership is drawn from tenure-related faculty.

IX. Director of undergraduate studies

A. Responsible for undergraduate major and other Physics-related undergraduate advising. Duties include every-day advising of undergraduates, overseeing peer advising, checking fulfillment of undergraduate degree requirements, tracking of courses in the degree program, updating of documentation, evaluating equivalencies of physics courses taken elsewhere, and nomination of students for undergraduate awards or scholarships.
B. Appointment will be to a tenure-related faculty or career NTTF officer of instruction; appointed by the DH; position may include a stipend, to be determined by the DH.

X. Director of graduate studies

A. Responsible for advising of graduate students, especially for checking fulfillment of degree requirements for the Physics Master’s and PhD degrees, and Physics Master’s degrees from the Graduate Internship Program.
B. Appointment will be to a tenure-related faculty; appointed by the DH; position may include a stipend, to be determined by the DH.

XI. Director of summer session

A. Responsible for overseeing, in consultation with the DH, Physics courses for summer session, including selection of course offerings, selection of instructors and TAs, and tracking the budget in consultation with CAS.
B. Appointment will be to a tenure-related faculty or career NTTF officer of instruction; appointed by the DH; position may include a stipend, to be determined by the DH.

Other typical Physics committees and service appointments:
Colloquium coordinator: one tenure-related faculty per term in academic year
Space committee: advises DH on space issues; 2-3 members from tenure-related faculty
Development committee: coordinate public and alumni interaction; 2-3 members
Faculty and student awards committee: 2-4 faculty
Class scheduling coordinator – closely coupled with curriculum committee
Library representative
TA training coordinator
Affirmative action coordinator
Director of Pine Mountain
Pre-engineering advisor
Society of Physics Students advisor

Voting Privileges

Significant policy changes and many personnel decisions are taken by votes of the faculty. Approval of decisions requires a simple majority of faculty in attendance and those who provided proxy votes. In general, all tenure-related faculty (TRF) and career non-tenure track faculty (NTTF) are eligible to participate in governance by providing input to these and other policies. The department will make no distinction between faculty members according to their membership in the faculty union.

Votes on personnel issues are made by written, signed ballots. Personnel-related votes are restricted to faculty of higher rank. Specifically,

- Promotion of tenure-related faculty can only be voted on by tenure-related faculty of higher rank. This includes faculty on TRP programs, but does not include RTRF.
- Tenure cases can only be voted by tenured faculty, including faculty on TRP programs, but not RTRF.
- Decisions to offer tenure-related faculty positions can only be voted on by other TRF, including those on TRP, but not RTRF.

For other non-personnel related motions, all TRF and CINTTF are eligible to vote. Faculty on leave, including sabbatical, retain their voting rights and may participate in discussions and communicate their votes through any available form, such as email, Skype, etc.

Faculty meeting attendance:

All Physics faculty are generally invited to attend meetings, with the following exceptions:

- For the personnel decisions mentioned above, attendance will be restricted to those eligible to vote, plus any others invited by the DH.
- For non-personnel related meetings, TRF and CINTTF are always invited. Otherwise, attendance should be consistent with the meeting agenda: Non-instructional career NTTF (e.g. officers of research or administration) or non-career NTTF can be invited as appropriate, to be determined by the DH and in accordance with the CBA, but would not be given voting privilege.

Procedures

Procedures related to departmental governance will be developed by TRF and Career NTTF in accord with the statement of responsibilities in the introduction.